

National Financial Services (NFS), our clearing firm, has prepared this report for UnionBank Investment Services LLC (UBIS) pursuant to U.S Securities and Exchange Commission Rule 606 which requires broker-dealer firms to make publicly available quarterly reports on their routing practices. This report provides information on the routing of "non-directed orders," which are any orders that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, UBIS transmits all customer orders to NFS, and NFS selects the execution venue on behalf of UBIS' customers. UBIS does not direct or Order Route any orders to any venues.

UBIS does not receive payment for order flow. Furthermore, UBIS does not have any material relationships with any of the venues identified below.

The report is divided into the following four sections: (1) Securities listed on the New York Stock Exchange; (2) Securities listed on the NASDAQ Stock Market; (3) Securities listed on NYSE, Amex or Regional Exchanges; and (4) Exchange listed options. For each section, this report identifies the venues most often selected by NFS and sets forth the percentage of various types of orders routed to the venues.

UNIONBANC INVESTMENT SERVICES - Held NMS Stocks and Options Order Routing Public Report

Generated on Thu Oct 14 2021 09:05:56 GMT-4 EDT

3rd Quarter, 2021

July 2021

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders									
1,416	100.00	1,195	84.39	23	1.62	136	9.60	62	4.38									
Venues																		
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	695	43.30	627	52.42	9	37.50	29	9.21	30	42.86	0	0	0	0	0	0	0	0
VIRTU Americas, LLC (NITE)	345	21.50	301	25.17	9	37.50	16	5.08	19	27.14	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC (JNST)	177	11.03	162	13.55	5	20.83	10	3.17	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange (NYSE)	121	7.54	0	0.00	0	0.00	121	38.41	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC (NSDQ)	116	7.23	0	0.00	1	4.17	115	36.51	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	43	2.68	42	3.51	0	0.00	1	0.32	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC (ETMM)	40	2.49	38	3.18	0	0.00	2	0.63	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC (UBSS)	39	2.43	26	2.17	0	0.00	0	0.00	13	18.57	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange (EDGX)	21	1.31	0	0.00	0	0.00	21	6.67	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross (BLKX)	8	0.50	0	0.00	0	0.00	0	0.00	8	11.43	0	0	0	0	0	0	0	0

July 2021

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
2,274	100.00	1,818	79.95	129	5.67	265	11.65	62	2.73

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	1,023	38.27	894	49.15	68	50.75	31	5.22	30	23.81	0	0	0	0	0	0	0	0
VIRTU Americas, LLC (NITE)	569	21.29	504	27.71	27	20.15	18	3.03	20	15.87	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC (JNST)	301	11.26	266	14.62	19	14.18	16	2.69	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC (NSDQ)	198	7.41	0	0.00	3	2.24	195	32.83	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange (NYSE)	163	6.10	0	0.00	3	2.24	160	26.94	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange (EDGX)	102	3.82	0	0.00	0	0.00	102	17.17	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC (SOHO)	81	3.03	71	3.90	6	4.48	4	0.67	0	0.00	0	0	0	0	0	0	0	0
NYSE Arca (ARCA)	64	2.39	0	0.00	2	1.49	62	10.44	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross (BLKX)	64	2.39	0	0.00	0	0.00	0	0.00	64	50.79	0	0	0	0	0	0	0	0
UBS Securities, LLC (UBSS)	55	2.06	37	2.03	4	2.99	2	0.34	12	9.52	0	0	0	0	0	0	0	0

July 2021

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders									
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
97	100.00	49	50.52	4	4.12	44	45.36	0	0.00									
Venues																		
Citadel Securities LLC (CDRG)	83	57.24	27	55.10	2	50.00	54	58.70	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group (SUSQ)	29	20.00	16	32.65	0	0.00	13	14.13	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	20	13.79	2	4.08	1	25.00	17	18.48	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC (DASH)	10	6.90	4	8.16	1	25.00	5	5.43	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC (MSCO)	3	2.07	0	0.00	0	0.00	3	3.26	0	0.00	0	0	0	0	0	0	0	0

August 2021

S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1,442	100.00	1,242	86.13	12	0.83	117	8.11	71	4.92

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	671	41.17	599	48.23	6	50.00	24	8.05	42	53.85	0	0	0	0	0	0	0	0
VIRTU Americas, LLC (NITE)	367	22.52	334	26.89	3	25.00	13	4.36	17	21.79	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC (JNST)	221	13.56	210	16.91	3	25.00	8	2.68	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC (NSDQ)	119	7.30	0	0.00	0	0.00	119	39.93	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange (NYSE)	98	6.01	0	0.00	0	0.00	98	32.89	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC (SOHO)	49	3.01	47	3.78	0	0.00	2	0.67	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC (UBSS)	34	2.09	21	1.69	0	0.00	1	0.34	12	15.38	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange (EDGX)	33	2.02	0	0.00	0	0.00	33	11.07	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC (ETMM)	31	1.90	31	2.50	0	0.00	0	0.00	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross (BLKX)	7	0.43	0	0.00	0	0.00	0	0.00	7	8.97	0	0	0	0	0	0	0	0

August 2021

Non-S&P 500 Stocks
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders									
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
	2,652	100.00	2,153	81.18	154	5.81	279	10.52	66	2.49								
Citadel Securities LLC (CDRG)	1,216	38.79	1,049	48.70	66	43.14	51	7.13	50	44.25	0	0	0	0	0	0	0	0
VIRTU Americas, LLC (NITE)	665	21.21	593	27.53	43	28.10	13	1.82	16	14.16	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC (JNST)	388	12.38	349	16.20	27	17.65	12	1.68	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC (NSDQ)	287	9.15	0	0.00	1	0.65	286	40.00	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange (NYSE)	139	4.43	0	0.00	0	0.00	139	19.44	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange (EDGX)	109	3.48	0	0.00	0	0.00	109	15.24	0	0.00	0	0	0	0	0	0	0	0
NYSE Arca(ARCA)	97	3.09	0	0.00	1	0.65	96	13.43	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC (SOHO)	84	2.68	75	3.48	7	4.58	2	0.28	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC (ETMM)	65	2.07	55	2.55	5	3.27	5	0.70	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross (BLKX)	47	1.50	0	0.00	0	0.00	0	0.00	47	41.59	0	0	0	0	0	0	0	0

August 2021

Option Contracts Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders									
104	100.00	52	50.00	6	5.77	46	44.23	0	0.00									
Venues																		
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC (CDRG)	63	45.32	22	42.31	4	57.14	37	46.25	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group (SUSQ)	39	28.06	20	38.46	1	14.29	18	22.50	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	17	12.23	2	3.85	0	0.00	15	18.75	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC (DASH)	11	7.91	7	13.46	1	14.29	3	3.75	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC (MSCO)	9	6.47	1	1.92	1	14.29	7	8.75	0	0.00	0	0	0	0	0	0	0	0

September 2021

S&P 500 Stocks Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1,550	100.00	1,268	81.81	33	2.13	173	11.16	76	4.90

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	703	37.94	621	48.97	15	44.12	38	8.05	29	36.71	0	0	0	0	0	0	0	0
VIRTU Americas, LLC (NITE)	405	21.86	346	27.29	11	32.35	19	4.03	29	36.71	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC (JNST)	213	11.49	200	15.77	4	11.76	9	1.91	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC (NSDQ)	204	11.01	0	0.00	0	0.00	204	43.22	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange (NYSE)	141	7.61	0	0.00	1	2.94	140	29.66	0	0.00	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange (EDGX)	56	3.02	0	0.00	0	0.00	56	11.86	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	54	2.91	53	4.18	0	0.00	1	0.21	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC (ETMM)	39	2.10	35	2.76	1	2.94	3	0.64	0	0.00	0	0	0	0	0	0	0	0
UBS Securities, LLC (UBSS)	35	1.89	13	1.03	2	5.88	2	0.42	18	22.78	0	0	0	0	0	0	0	0
Instinet BlockCross (BLKX)	3	0.16	0	0.00	0	0.00	0	0.00	3	3.80	0	0	0	0	0	0	0	0

September 2021

Non-S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders									
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
3,263	100.00	2,793	85.60	99	3.03	313	9.59	58	1.78									
Citadel Securities LLC (CDRG)	1,539	41.28	1,385	49.57	48	47.52	67	9.13	39	39.39	0	0	0	0	0	0	0	0
VIRTU Americas, LLC (NITE)	810	21.73	746	26.70	24	23.76	27	3.68	13	13.13	0	0	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(INST)	488	13.09	461	16.50	16	15.84	11	1.50	0	0.00	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC (NSDQ)	292	7.83	1	0.04	4	3.96	287	39.10	0	0.00	0	0	0	0	0	0	0	0
New York Stock Exchange (NYSE)	133	3.57	0	0.00	2	1.98	131	17.85	0	0.00	0	0	0	0	0	0	0	0
Choe EDGX US Equities Exchange (EDGX)	126	3.38	0	0.00	0	0.00	126	17.17	0	0.00	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC (SOHD)	93	2.49	83	2.97	4	3.96	6	0.82	0	0.00	0	0	0	0	0	0	0	0
G1 Execution Services, LLC (ETMM)	90	2.41	88	3.15	1	0.99	1	0.14	0	0.00	0	0	0	0	0	0	0	0
NYSE Arca (ARCA)	69	1.85	0	0.00	1	0.99	68	9.26	0	0.00	0	0	0	0	0	0	0	0
Instinet BlockCross (BLKX)	41	1.10	0	0.00	0	0.00	0	0.00	41	41.41	0	0	0	0	0	0	0	0

September 2021

Option Contracts
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders									
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
64	100.00	36	56.25	0	0.00	28	43.75	0	0.00									
Venues																		
Citadel Securities LLC (CDRG)	34	40.00	18	50.00	0	0.00	16	32.65	0	0.00	0	0	0	0	0	0	0	0
Susquehanna Capital Group (SUSQ)	24	28.24	14	38.89	0	0.00	10	20.41	0	0.00	0	0	0	0	0	0	0	0
Dash Financial Technologies LLC (DASH)	11	12.94	2	5.56	0	0.00	9	18.37	0	0.00	0	0	0	0	0	0	0	0
Wolverine Execution Services LLC (WEXX)	10	11.76	0	0.00	0	0.00	10	20.41	0	0.00	0	0	0	0	0	0	0	0
Morgan Stanley & Company LLC (MSCD)	6	7.06	2	5.56	0	0.00	4	8.16	0	0.00	0	0	0	0	0	0	0	0